

# Financial Disclosure Management

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6.0.1 Release Notes

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# Introduction

Previous Release Notes are posted on the FDM website:

<https://www.fdm.army.mil/helpSupport/releaseNotes.htm>.

The following enhancements have been implemented in FDM for Release 6.0.1:

## 6.0.1 Enhancements

### New Entrant Report Year Label

### Restricted Reports

- ❖ Filer/Supervisors
- ❖ Granting Access to Restricted Reports

### Defects and Changes

# New Entrant Report Year Label

The report year label is now the year of the report's due date for assigned and self-started reports. For example, when a Filer self-starts a report and enters an Appointment Date of 01/01/2008 and a Notified to File date of 06/01/2010, the report year label would be 2010. In addition, when a Filer is assigned a report on 12/01/2009 and the Filer enters an Appointment Date of 12/01/2008 and Notified to File Date of 12/01/2009 the report year label would be 2009.

**Note:** If the Filer is assigned a report on 12/01/2009 and the Filer enters an Appointment Date of 12/01/2007 and a Notified to File Date of 12/02/2009, the Report Year Label would be 2009, however, the Report due date would be 01/01/2010.

Figure 1. Appointment Date with Report Year Label

**FDM\_TRAIN Test System**  
**Financial Disclosure Management**

My Reports | My Info | My Reviews | Admin | Ethics Training | Management Reports | Resources | Log Out

Reports Not Started | Reports List

Report Data | Attachments | Comments | Flags | Audit Trail | View/Print | Review Status

**Draft - Ford X. Edsel, 2010 New Entrant OGE 450 Report**

Getting Started | Non-Investment Income | Assets | Liabilities | Outside Positions | Appointments | Questions | Wrap Up

**Appointment Date**

**Instructions:**  
For your "Appointment Date" use the later of the date that you began working in the position that requires you to file the OGE Form 450 or the date that your duties changed that now require you to file an OGE Form 450. Your "Appointment Date" might be other than the date that you first began working for the Federal Government. Consult your Ethics Counselor if you have questions about the correct date.  
Your "Notified to File Date" is the date that your Supervisor or Legal Advisor first notified you that you must file this OGE 450.

What is my date of appointment?  
♦ How will the ethics official use my appointment date?  
♦ What if I have a break in service?

**Appointment Date:** 01 / 01 / 2008 (mm/dd/yyyy)

**Notified to File Date:** 6 / 1 / 2010 (mm/dd/yyyy)

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# Restricted Reports

## Filer/Supervisors

FDM now restricts access to some reports by successor Supervisors. Some agencies that use FDM, place Filers in the org units where they also Supervisor, creating a Supervisor/Filer. FDM does not allow a Supervisor/Filer to eSign as a Supervisor reviewer on his or her own report. Instead, FDM looks to the next level up for the Supervisory reviewer. When the Supervisor/Filer moves on, the report content cannot be viewed by the successor Supervisor.

Figure 2. Review Status Page Restricted Report Indicator

The screenshot shows the 'Review Status' page for a report titled 'Under Review - Al X. Dente, 2010 New Entrant OGE 450 Report'. A yellow note indicates that the report is restricted because it was created by a prior supervisor. The page includes sections for 'Report Progress', 'Due Date & Extensions', and a 'Disclosure Report Reviews' table.

**Report Progress**

Assigned:	Yes
Filer Started:	06/28/2010
Filer eSign and Submitted:	06/28/2010
Amended:	
Completed:	

**Due Date & Extensions**

Current Due Date: 07/28/2010

[View/Edit Due Date & Extensions](#)

No extensions have been recorded.

**Disclosure Report Reviews**

Reviewer Role	Currently Assigned Reviewer	Notify	Reviewer Type	End Reviews	eSigned/Signatures
Supervisor	<a href="#">Ella X Vader</a>	<input checked="" type="checkbox"/>	Organization	06/28/2010 Vader, Ella X. Supervisor	06/28/2010 Vader, Ella X. Supervisor
Supervisor SLC	<a href="#">Barry X Cade</a>	<input checked="" type="checkbox"/>	Organization		
450 Certifier	<a href="#">Ella X Vader</a>	<input checked="" type="checkbox"/>	Organization		

## Granting Access to Restricted Reports

Reports are marked as restricted if a Filer who is also the Supervisor for the org unit submits the report and the Supervisor of the report's org unit is now someone else. In the example, below, Al Dente was a Filer in the org unit where he was also a Supervisor. Al Dente moved on to a different org unit and Hazel Nutt became the Supervisor reviewer for that org unit. The new supervisor, Hazel Nutt is restricted from viewing Al Dente's report unless a Certifying Authority grants access.

A Certifying Authority should grant access where the departed Supervisor/Filer remains as a Filer in the same org unit (e.g., as in the case where the Filer was temporarily acting as Supervisor until the arrival of the permanent Supervisor.)

**Note:** DAEOS, Senior Legal Counsels their ECs and Assistants can grant and restrict access to Supervisor/Filer SF 278 reports. 450 Certifiers their ECs and Assistants can grant and restrict access to Supervisor/Filer OGE 450 Reports.

Figure 3. Review Status with Grant Access function

The screenshot displays a web application interface for reviewing reports. At the top, there are navigation tabs: My Info, My Reviews, Admin, Ethics Training, Management Reports, Resources, and Log Out. Below these are sub-tabs: Filers, Remind Filers, Remind Supervisors, Review Reports, and Manage Exceptions. The main content area is titled 'Under Review - Al X. Dente, 2010 New Entrant OGE 450 Report' and 'Review Status'. It features a '450 Certifier' badge, a 'How Do I...' help button, and a 'Report Progress' section with fields for Assigned, Filer Started, Filer eSign and Submitted, Amended, and Completed. A 'Remove Assignment' button is next to the Assigned field. The 'Due Date & Extensions' section shows a 'Current Due Date' of 07/28/2010 and a 'View/Edit Due Date & Extensions' button. Below this are buttons for 'Admin Close', 'eSign and Complete', and 'End Review'. A 'Disclosure Report Reviews' table is shown, with columns for Reviewer Role, Currently Assigned Reviewer, Notify, Reviewer Type, End Reviews, and eSigned/Signatures. A 'Note' at the bottom explains that the report is restricted because the filer was a former supervisor, and a 'Grant Access' button is provided.

Reviewer Role	Currently Assigned Reviewer	Notify	Reviewer Type	End Reviews	eSigned/Signatures
Supervisor	<a href="#">Ella X Vader</a>	<input checked="" type="checkbox"/>	Organization	06/28/2010 Vader, Ella X. Supervisor	06/28/2010 Vader, Ella X. Supervisor
Supervisor SLC	<a href="#">Barry X Cade</a>	<input checked="" type="checkbox"/>	Organization		
450 Certifier	<a href="#">Ella X Vader</a>	<input checked="" type="checkbox"/>	Organization		

**Note:** This report is for a prior Supervisor of this org unit, Sup Flag Org. It was marked "Restricted" to preserve the privacy of the prior Supervisor and prevent the successor Supervisor from seeing its contents. If that Filer was a temporary Supervisor and the current org unit Supervisor, Hazel X Nutt, should be authorized to access its contents, click the Grant Access button.

# Defects & Changes

The following updates were made in the 6.0.1 release of FDM.

**SCR 5092** – The Due Date for Assigned New Entrant reports now calculates correctly on the Review Status page to 30 days from the report assignment.

**SCR 5378** – The correct Reporting Period now displays on the Wrap Up – Report Not Ready for Submission page for Filers.

**SCR 5379** – The My Filers list now automatically refreshes after a new filer is added.

**SCR 5385** – Review Reports – Org Unit View no longer displays the Yellow Triangle, “Different Senior Legal Counsel is assigned to this Org Unit” beside reports that belong to Filers who are also Supervisor’s to multiple Org Units.

**SCR 5444** – An application error no longer occurs when a user tries to open an attachment from the Audit Trail.

**SCR 5462** – The word “Advisor” is now correctly spelled in the instructions on the OGE 450 Non-SGE Appointment Date page.

**SCR 5465** – New Entrant Reports now display on the Reports List - Org Unit View page when Reviewers specifically filters for New Entrant Reports only.

**SCR 5474** – The Include Filers for... on the Admin | Filers page now stays selected once Retrieve Filers is clicked.

**SCR 5507** – Entering special characters into free-form text fields no longer causes a signature error.

**SCR 5509** – Any Report Attachments can now be opened directly in FDM.

**SCR 5519** – A pop-up error message now displays when a comma is placed in the cc field on any FDM notification indicating the notification will fail to send. Use a semi-colon when entering more than one e-mail address in FDM.

**SCR 5520** – The DoD Employees message at the bottom of the Filer’s Contact Info screen has been updated.

**SCR 5527** - Navigation sequence has been corrected for Filers with assigned OGE 450 New Entrant reports that have a combat zone extension with last day as unknown.

**SCR 5528** – An application error no longer occurs when viewing an attachment for a previous report.

**SCR 5546** – An application error no longer occurs when clicking View Instructions on the SF 278 Getting Organized page.

**SCR 5560** - 450 Certifier Signature & Time Stamp now correctly displays on the Review Status page.

**SCR 5565** – POCs and Agency Admins can now view the report’s Review Status page from Admin/Filer page.

**SCR 5573** - Filer Assistant no longer sees the eSign button on 450 OGE Report with Amendment in Progress status

**SCR 5581** - Gift information is now properly aligned on the Flags page.